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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application

Applicant(s): Paul Owen Livesay

Serial No.:

09/510,919

Filing Date:

February 22, 2000

Title:

Methods and Apparatus for Providing

User Anonymity in Online Transactions

Group:

2755

Examiner:

To Be Assigned

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

### U.S. Patents

- U.S. Patent No. 6,026,379 issued on 02/15/00 to Haller et al.
- U.S. Patent No. 6,006,200 issued on 12/21/99 to Boies et al.
- U.S. Patent No. 5,987,132 issued on 11/16/99 to Rowney
- U.S. Patent No. 5,983,208 issued on 11/09/99 to Haller et al.
- U.S. Patent No. 5,978,840 issued on 11/02/99 to Nguyen et al.
- U.S. Patent No. 5,961,593 issued on 10/05/99 to Gabber et al.

- U.S. Patent No. 5,956,699 issued on 09/21/99 to Wong et al.
- U.S. Patent No. 5,913,203 issued on 06/15/99 to Wong et al.
- U.S. Patent No. 5,907,677 issued on 05/25/99 to Glenn et al.
- U.S. Patent No. 5,884,272 issued on 03/16/99 to Walker et al.
- U.S. Patent No. 5,812,668 issued on 09/22/98 to Weber
- U.S. Patent No. 5,729,537 issued on 03/17/98 to Billström
- U.S. Patent No. 5,671,280 issued on 09/23/97 to Rosen
- U.S. Patent No. 5,557,518 issued on 09/17/96 to Rosen

## Other Documents

- 1. Visa-Electronic Commerce-SET, Internet Shopping, http://www.visa.com/nt/ecomm/security/set.html, 4 pages, January 19, 2000.
  - 2. "Privacy: Outrage on the Web," Business Week, pp. 38-40, February 14, 2000.
  - 3. Q. Hardy, "Window Shopping," Forbes, pp. 62-64, January 24, 2000.
  - 4. Enonymous<sup>™</sup> Advisor, http://www.enonymous.com, 5 pages, February 1, 2000.
  - 5. Introducing Freedom<sup>™</sup>, http://www.freedom.net/info/, 5 pages, February 1, 2000.
- 6. Visa-Merchant Operations Education, http://www.visa.com/fb/moe/main.html, 3 pages, January 19, 2000.
- 7. CBS.MarketWatch.com Trintech, Entrust Technologies Team Up on Net Security Payments, http://www.cbsmarketwatch.com/news/current/ttpa.htx?source=htx/http2\_mw,2 pages, February 16, 2000.
- 8. M. Marriott, "It's Not Big Brother, It's Customer Service," http://www.nytimes.com/library/tech/00/01/circuits/articles/27serv.html, 6 pages, January 27, 2000.
- 9. First Data Merchant Services, Internet Credit Card Processing 101, http://www.firstdata.com/internetccproc101.html, 4 pages, March 1, 2000.
- 10. "OnLine Privacy: It's Time for Rules in Wonderland," Business Week, pp. 83-96, March 20, 2000.

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- 11. L. Goldman, "Credit Slips," Forbes, pp. 56-58, May 1, 2000.
- 12. L. Lazarony, "How to Exterminate Web Creeps," CBS.MarketWatch.com How to Protect Your Privacy on the Internet, http://www.cbsmarketwatch.com/news/current/brm\_generic. htx?source=htx/http2\_mw, 4 pages, May 3, 2000.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

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Attorney for Applicant(s)

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## FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Examiner

Applicant(s): Paul Owen Livesay

Case: 1125-2 Serial No.: 09/510,919

Filing Date: February 22, 2000

Group: 2755

#### U.S. PATENT DOCUMENTS FILING DATE **EXAMINER** IF APPROPRIATE NAME CLASS/SUBCLASS INITIAL DOCUMENT NO. DATE Haller et al. 02/15/00 6,026,379 6,006,200 12/21/99 Boies et al. 5,987,132 11/16/99 Rowney 5,983,208 11/09/99 Haller et al. Nguyen et al. 5,978,840 11/02/99 Gabber et al. 5,961,593 10/05/99 5,956,699 09/21/99 Wong et al. 06/15/99 Wong et al. 5,913,203 5,907,677 05/25/99 Glenn et al. Walker et al. 5,884,272 03/16/99 09/22/98 Weber 5,812,668 Billström 5,729,537 03/17/98 5,671,280 09/23/97 Rosen 5,557,518 09/17/96 Rosen FOREIGN PATENT DOCUMENTS TRANSLATION EXAMINER YES CLASS/SUBCLASS COUNTRY INITIAL OTHER DOCUMENTS ENAMINER INITIAL AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. 1. Visa-Electronic Commerce-SET, Internet Shopping, http://www.visa.com/nt/ecomm/ security/set.html, 4 pages, January 19, 2000. 2. "Privacy: Outrage on the Web," Business Week, pp. 38-40, February 14, 2000. 3. O. Hardy, "Window Shopping," Forbes, pp. 62-64, January 24, 2000. 4. Enonymous<sup>TM</sup> Advisor, http://www.enonymous.com, 5 pages, February 1, 2000.

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## FORM PTO-1449 (MODIFIED)

## LIST OF PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT



Applicant(s): Paul Owen Livesay Case:

1125-2

Serial No.: Filing Date:

09/510,919 February 22, 2000

Group:

2755

	OTHER DOCUMENTS-(Cont'd)	_
EXAMIN INITIAL	NER .	
	5. Introducing Freedom™, http://www.freedom.net/info/, 5 pages, February 1, 2000.	
	6. Visa-Merchant Operations Education, http://www.visa.com/fb/moe/main.html, 3 pages, January 19, 2000.	,
<del></del>	7. CBS.MarketWatch.com - Trintech, Entrust Technologies Team Up on Net Security Payments, http://www.cbsmarketwatch.com/news/current/ttpa.htx?source=htx/http2_mw, 2 pages, February 16, 2000.	
	8. M. Marriott, "It's Not Big Brother, It's Customer Service," http://www.nytimes.com/library/tech/00/01/circuits/articles/27serv.html, 6 pages, January 27, 2000.	
	9. First Data Merchant Services, Internet Credit Card Processing 101, http://www.firstdata.com/internetccproc101.html, 4 pages, March 1, 2000.	
	10. "OnLine Privacy: It's Time for Rules in Wonderland," Business Week, pp. 83-96, March 20, 2000	
	11. L. Goldman, "Credit Slips," Forbes, pp. 56-58, May 1, 2000.	
<del></del>	12. L. Lazarony, "How to Exterminate Web Creeps," CBS.MarketWatch.com - How to Protect Your Privacy on the Internet, http://www.cbsmarketwatch.com/news/current/brm_generic. htx?source=htx/http2_mw, 4 pages, May 3, 2000.	

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Receipt in the USPTO is hereby acknowledged of:

Information Disclosure Statement w/Form PTO-1449 - 5 pages Cited References (26)

May 10, 2000 Serial No. 09/510,919 1125-2



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